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10076881 .061202

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Wang et al.

Application No. 10/076,881

Filed: Feb 13, 2002

For: A CATALYST AND METHOD  
OF STEAM REFORMING

:  
:  
:  
: Art Unit: 1764  
:  
: Examiner: not yet assigned  
:  
: Atty Docket: B-1482-CIP2  
:

**REQUEST FOR CORRECTION OF FILING RECEIPT**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Filing Receipt for the captioned application incorrectly listed priority of two of the related applications. The correct priority is:

AND A CIP OF 09/640,903 08/16/2000

AND A CIP OF 09/375,614 08/17/1999

A copy of the filing receipt showing the correction is enclosed.

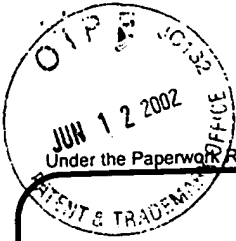
Respectfully submitted,

Date: 4 June 2002

By: Frank Rosenberg

Frank Rosenberg  
Registration No. 37,068  
tel: (925) 376-8416

100768812002/1/2/3



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/076,881
	Filing Date	02/13/2002
	First Named Inventor	Wang
	Group Art Unit	1764
	Examiner Name	not yet assigned
Total Number of Pages in This Submission <u>24</u>		Attorney Docket Number B-1482-CIP2

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Request For Correction Of Filing Receipt

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Frank Rosenberg

Signature

*Frank Rosenberg*

Date

7 June 2002

06/04/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5 June 2002

Typed or printed name

Esmeralda Mestizo

Signature

*E Mestizo*

Date

June 5<sup>th</sup> 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 980.00

## Complete if Known

Application Number	10/076,881
Filing Date	02/13/2002
First Named Inventor	Wang
Examiner Name	not yet assigned
Group Art Unit	1764
Attorney Docket No.	B-1482-CIP2

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1749  
Deposit Account Name: Frank Rosenberg

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	110.00
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 240.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Frank Rosenberg	Registration No. (Attorney/Agent)	37,068	Telephone	925-376-8416
Signature	<i>Frank Rosenberg</i>			Date	06/04/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,881	02/13/2002	Wang	B-1482-CIP2

Frank S. Rosenberg  
18 Echo Hill Lane  
Moraga, CA 94556



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CONFIRMATION NO. 1025

## FORMALITIES LETTER



\*OC000000007622514\*

Date Mailed: 03/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/16/2002 RHARIS1 00000057 10076881

01 FC:101 740.00 OF  
02 FC:105 130.00 OF

*A copy of this notice **MUST** be returned with the reply.*

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M-NAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



# RECORDATION FOR COVER SHEET PATENTS ONLY Form PTO-1619A

Our Fil No. **B-1482-CIP2**

TO: The commissioner of patents and trademarks: Please record the attached original document(s) or copy(ies).

## 1. SUBMISSION TYPE

- ☒ New  
☐ Resubmission  
☐ Document ID  
☐ Correction of PTO Error  
☐ Reel \_\_\_\_\_ Frame \_\_\_\_\_

## 2. CONVEYANCE TYPE (# Of Pages) (# of Items)

- |  |          |          |
|--|----------|----------|
| <input checked="" type="checkbox"/> Assignment | <u>3</u> | <u>1</u> |
| <input type="checkbox"/> License               | _____    | _____    |
| <input type="checkbox"/> Merger                | _____    | _____    |
| <input type="checkbox"/> Security Agreement    | _____    | _____    |
| <input type="checkbox"/> Name Change           | _____    | _____    |
| <input type="checkbox"/> Other                 | _____    | _____    |

3a. PATENT OR APP. # 10/076,881

3b. EXECUTION DATE: \_\_\_\_\_

## 4. CONVEYING PARTY(IES)

- |                              |                      |           |            |
|------------------------------|----------------------|-----------|------------|
| 1. <u>Yong Wang</u>          | Date <u>05222002</u> | 7. _____  | Date _____ |
| 2. <u>Anna Lee Tonkovich</u> | Date <u>05132002</u> | 8. _____  | Date _____ |
| 3. <u>David Vanderwiel</u>   | Date <u>05132002</u> | 9. _____  | Date _____ |
| 4. _____                     | Date _____           | 10. _____ | Date _____ |
| 5. _____                     | Date _____           | 11. _____ | Date _____ |
| 6. _____                     | Date _____           | 12. _____ | Date _____ |

## 5. RECEIVING PARTY

Name: Battelle Memorial Institute K1-53

Internal Address: K1-53 P.O Box 999 Intellectual Property Services

Street Address: 902 Battelle Blvd. Intellectual Property Services

City: Richland State: WA Zip: 99352

Any additional names of conveying parties attached? ☐ Yes  
☒ No

## 6. CORRESPONDENT NAME AND ADDRESS

Name: Todd J. Harrington (K1-53)

Street Address: 902 Battelle Blvd. P.O. Box 999

City: Richland State: WA Zip: 99352

Area Code and Phone Number: (509) 375-2981

## 7. FEE & DEPOSIT ACCOUNT #

Method Of Payment:

☐ Enclosed ☒ Deposit Account \$ 40.00

Deposit Account Number: #02-1275 (Payor No. 005055)

Authorization to charge additional fees: ☒ Yes  
☐ No

## 8. STATEMENT AND SIGNATURE

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Todd J. Harrington

Name of Person Signing

Todd J. Harrington  
 Signature

5/23/02  
 Date